

Finansinspektionen's Regulatory Code

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Regulations

amending Finansinspektionen's Regulations (FFFS 2013:10) regarding alternative investment fund managers;

FFFS 2022:4

Published on
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decided on 22 February 2022.

Finansinspektionen prescribes pursuant to section 5, point 5 of the Alternative Investment Fund Managers Ordinance (2013:587) that Chapter 10, section 3 of Finansinspektionen's regulations (FFFS 2013:10) regarding alternative investment fund managers shall have the following wording.

Chapter 10

Section 3 An AIF manager that as part of its operations provides advice to customers regarding participations or holdings in alternative investment funds in cases other than that set out in Chapter 3, section 2, second paragraph, point 4 of the Alternative Investment Fund Managers Act (2013:561), when providing such services, shall fulfil the requirements set out in Articles 27, 33, 37, 52, 54 and 55 of the delegated regulation for MiFID II.

An AIF manager, when providing such services in accordance with the first paragraph, shall also apply Chapter 4 and Chapter 7, sections 1–5 and 8–21 of Finansinspektionen's regulations (FFFS 2017:2) regarding investment services and activities.

These regulations shall enter into force on 08 March 2022.

ERIK THEDEÉN

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