

Finansinspektionen's Regulatory Code

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FFFS 2015:6

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Regulations

amending Finansinspektionen's regulations and general guidelines (FFFS 2009:1) regarding measures against money laundering and financing of terrorism;

decided on 17 July 2015.

Finansinspektionen prescribes pursuant to section 18 of the Money Laundering and Terrorist Financing (Prevention) Ordinance (2009:92)

in part that Chapter 4, sections 14–17 shall be repealed,

in part that the heading immediately preceding Chapter 4, section 14 shall be removed,

in part that current Chapter 4, sections 18–20 shall be designated sections 14–16, and

in part that Chapter 3, section 2 shall have the following wording.

Chapter 3

Section 2 An undertaking shall maintain the following procedures, etc.:

1. procedures for:

- basic customer due diligence measures according to Chapter 2, section 3 of the Money Laundering and Terrorist Financing (Prevention) Act,
- exemptions from basic customer due diligence measures according to Chapter 2, section 5 of the Money Laundering and Terrorist Financing (Prevention) Act, and
- more extensive measures to attain basic customer due diligence measures according to Chapter 2, section 6 of the Money Laundering and Terrorist Financing (Prevention) Act,

2. systems or procedures for ongoing follow-up of business relationships in accordance with Chapter 2, section 10 of the Money Laundering and Terrorist Financing (Prevention) Act and Chapter 4, sections 14 and 15 of these regulations,

3. procedures for preserving documents submitted or measures taken to achieve customer due diligence in accordance with Chapter 2, section 13 of the Money Laundering and Terrorist Financing (Prevention) Act and Chapter 4, section 16 of these regulations,

4. systems or procedures for the review obligation in accordance with Chapter 3, section 1 of the Money Laundering and Terrorist Financing (Prevention) Act and Chapter 5, section 1 of these regulations,

5. procedures for the obligation to provide information to the Swedish National Police Board in accordance with Chapter 3, section 1 of the Money Laundering and Terrorist Financing (Prevention) Act and Chapter 5, section 2 of these regulations,

6. training programmes in accordance with Chapter 5, section 1 of the Money Laundering and Terrorist Financing (Prevention) Act and Chapter 7, section 1 of these regulations,

7. procedures to protect employees from threats or hostile measures pursuant to Chapter 5, section 1 of the Money Laundering and Terrorist Financing (Prevention) Act and Chapter 7, section 2 of these regulations, and
8. guidelines for internal control, compliance and internal information pursuant to Chapter 8 of these regulations.

The undertaking's procedures, etc. shall be based on its operations and risk assessment.

These regulations shall enter into force on 1 August 2015.

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